



Sector #

PATENT
Docket No. 344742002300
Client Ref. MT-0170

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on February 12, 1999.

February 12, 1999 Tiffany Dolmseth
Date Tiffany Dolmseth

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

William C. Thibault *et al.*

Serial No.: 09/215,030

Filing Date: 17 December 1998

For: METHOD OF PREPARING PRISMATIC CELLS

Examiner: Unassigned

Group Art Unit: 1745

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In complete response to the "Notice to File Missing Parts of Application, Filing Date
Granted" mailed 25 January 1999, attached please find:

1. Part 2 of "Notice to File Missing Parts of Application" Form PTO-1533 (1 page).
2. Declaration signed by the inventors (4 pages).
3. Prosecution by Assignee and Power of Attorney Under 37 C.F.R. § 3.71 (2 pages).
4. Certificate Under 37 C.F.R. § 3.73(b) (1 page) with copy of Assignment (2 pages).
5. Verified Statement Claiming Small Entity Status (1 page).
6. Check for \$1376.00 (\$65.00 for surcharge; \$1311.00 for filing fee).
7. Return Receipt Postcard.

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	109 - 20 =	89	x \$18.00	\$1602.00
INDEPENDENT CLAIMS	2 - 3 =	0	x \$78.00	\$0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$260.00
			BASIC FEE	\$760.00
SURCHARGE FOR FILING MISSING PARTS				\$130.00
			TOTAL OF ABOVE CALCULATIONS =	\$2752.00
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached.				\$1376.00
			TOTAL =	\$1376.00

A check in the amount of \$1376.00 is enclosed

A Verified Statement Claiming Small Entity Status is filed herewith.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16, 1.17 or 1.21 that may be required by this transmittal, or to credit any overpayment, to Deposit Account No. 03-1952 referencing docket no. 344742002300.

Dated: February 12, 1999

Respectfully submitted,

By: Thomas E. Ciotti
Thomas E. Ciotti
Registration No. 21,013

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/215.030	12/17/98	THIBAUT	W 344742002300

0242/0125

THOMAS E CIOTTI
 MORRISON & FOERSTER
 755 PAGE MILL ROAD
 PALO ALTO CA 94304-1018

NOT ASSIGNED

DATE MAILED:

01/25/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.138(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 2152.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 1862, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ 1602 for 89 dependent claims over 20.

\$ 260 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

☒ See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/22/1999 CHDING 00000085 09215030
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 65 00 00
 380 00 00
 801 00 00
 01 FEB 2005
 02 FEB 2001
 03 FEB 2004
 04 FEB 2003